



Attorney's Docket No.: 06618-736001/CIT-3345

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ming Cai and Kerry J. Vahala Art Unit: 2873  
Serial No.: 10/007, 630 Examiner: Unknown  
Filed : November 9, 2001  
Title : DUAL-WAVELENGTH HYBRID WAVEGUIDE COUPLER

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed December 27, 2001 (a copy of which is enclosed), applicant as a small entity submits herewith the following:

- Payment of the basic filing fee of \$370;
- Payment for 9 additional claims (\$81) and 2 additional independent claims (\$84);
- Payment of the surcharge of \$65 for late filing of the basic filing fee and/or declaration;
- A Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63; and
- A check in the total amount of \$600.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

February 22, 2002

Date of Deposit

Signature

Sharon Gebhart  
Typed or Printed Name of Person Signing Certificate

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

  
\_\_\_\_\_  
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*[Signature]*

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/007,630	11/09/2001	Ming Cai	06618-736001

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ORIGINALLY FILED**

CONFIRMATION NO. 9976

## FORMALITIES LETTER



\*OC000000007233056\*

Date Mailed: 12/27/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$165.
  - \$81 for 9 total claims over 20.
  - \$84 for 2 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 600.**

03/11/2002 BABRAHA1 00000043 10007630

01 FC:201	370.00 0P
02 FC:205	65.00 0P
03 FC:203	81.00 0P
04 FC:202	84.00 0P

*A copy of this notice **MUST** be returned with the reply.*

*[Signature]*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE